

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Young Min Kim et al.Application No./Patent No.: 10/535,231Filed/Issue Date: 13 November 2004  
(International Date)Entitled: A PHARMACEUTICAL COMPOSITION COMPRISING AN IMMUNOGLOBULIN  
FC REGION AS A CARRIERHanmi Pharm. Ind. Co. Ltd.

(Name of Assignee)

a

Corporation(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the  
original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP  
302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Kyung-Yoon Min

Typed or printed name

July 3, 2006

Date

President

Title

# ASSIGNMENT

WHEREAS, we,

Name	Post Office Address	
Young Min Kim	#102-803, Woonam dreamvalley, Gomae-ri, Giheung-eup, Yongin-si, Gyeonggi-do, 449-901, Republic of Korea	;
Dac Hae Song	#103-1702, Baecksan Apt., Siheung-dong, Geumcheon-gu, Seoul, 153-032, Republic of Korea	;
Sung Youb Jung	#651-301, Miju Apt., Yeongtong-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do, 443-470, Republic of Korea	;
Chang Hwan Kim	#945-815, Lotte Apt., Yeongtong-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do, 443-470, Republic of Korea	;
In Young Choi	#218-1403, WooSung Apt., Seohyeon-dong, Bundang-gu, Seongnam-si, Gyeonggi-do, 463-773, Republic of Korea	;
Se Chang Kwon	#1002-2103, Hyundai 10-cha Apt., Gwangjang-dong, Gwangjin-gu, Seoul, 143-210, Republic of Korea	; and
Gwan Sun Lee	#3-404, Woochang Apt., Ogeum-dong, Songpa-gu, Seoul, 138-739, Republic of Korea	

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

“A PHARMACEUTICAL COMPOSITION COMPRISING AN IMMUNOGLOBULIN FC REGION AS A CARRIER,”

as described and claimed in the specification for which an application for United States letters patent was filed and assigned Application No. 10/535,231. This application is a national stage application filed under 35 U.S.C. 371 of International Application No. PCT/KR04/02945, accorded an International Filing Date of 13 November 2004;

WHEREAS, Hanmi Pharm. Ind. Co. Ltd. (hereinafter referred to as ASSIGNEE), having a usual place of business at #893-5, Hajeo-ri, Paltan-myeon, Hwaseong-si, Gyeonggi-do, 445-813, Republic of Korea, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and, in any and all divisions, reissues and continuations thereof, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

July 3, 2006  
Date

Young Min Kim  
Young Min Kim

I certify that I know or have satisfactory evidence that Young Min Kim signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date July 3, 2006  
(Type or Print)

Jung. mi Jung  
(Type or Print Name of Witness)

Signed at Seoul, Korea

Jung  
(Signature of Witness)

July 3, 2006  
Date

(Signature)  
Dac Hae Song

I certify that I know or have satisfactory evidence that Dac Hae Song signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date July 3, 2006  
(Type or Print)  
Sung, mi Jung  
(Type or Print Name of Witness)

Signed at Seoul, Korea  
(Signature)  
(Signature of Witness)

July 3, 2006  
Date


(Signature)  
Sung Youb Jung

I certify that I know or have satisfactory evidence that Sung Youb Jung signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date July 3, 2006  
(Type or Print)  
Sung, mi Jung  
(Type or Print Name of Witness)

Signed at Seoul, Korea  
Sung  
(Signature of Witness)

July 3. 2006  
Date


  
Chang Hwan Kim

I certify that I know or have satisfactory evidence that Chang Hwan Kim signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date July 3. 2006  
(Type or Print)  
Jung, mi Jung  
(Type or Print Name of Witness)

Signed at Seoul, Korea  
Sung  
(Signature of Witness)

July 3. 2006  
Date

  
In Young Choi

I certify that I know or have satisfactory evidence that In Young Choi signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date July 3. 2006  
(Type or Print)  
Jung, mi Jung  
(Type or Print Name of Witness)

Signed at Seoul, Korea  
Sung  
(Signature of Witness)

Witness)

(Signature of Witness)

of

July 3, 2006  
Date

Se Chang Kwon  
Se Chang Kwon

I certify that I know or have satisfactory evidence that Se Chang Kwon signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date July 3, 2006  
(Type or Print)  
Jung, mi Jung  
(Type or Print Name of Witness)

Signed at Seoul, Korea  
Jung  
(Signature of Witness)

July 3, 2006  
Date

Lee Gwan Sun  
Gwan Sun Lee

I certify that I know or have satisfactory evidence that Gwan Sun Lee signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date July 3, 2006  
(Type or Print)  
Jung, mi Jung  
(Type or Print Name of Witness)

Signed at Seoul, Korea  
Jung  
(Signature of Witness)